

NWML/SEC/2026/7

May 10, 2025

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai - 400 001.
BSE Scrip Code: 543988

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai - 400 051.
NSE Symbol: NUVAMA

Subject: - Intimation under Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir(s) / Madam(s),

Pursuant to the provisions of Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we would like to inform the Exchanges that the Company has received a letter on May 9, 2025 from National Stock Exchange of India Limited advising the Company to be careful and avoid recurrence of the observations in future.

There is no impact on the financials, operations or other activities of the Company or its subsidiaries/associates, pursuant to the above letters.

The details as required under Regulation 30 of the Listing Regulations are attached as **Annexure – I**.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Nuvama Wealth Management Limited

Sneha Patwardhan
Company Secretary and Compliance officer

Encl: as above

Annexure I	
Name of the authority	National Stock Exchange of India Limited ("NSE")
Nature and details of the action(s) taken, initiated or order(s) passed	Letter of observation with penalty advising the Company to be careful and avoid recurrence of the observations in future.
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	May 9, 2025
Details of the violation(s)/contravention(s) committed or alleged to be committed	The observation is related to Limited Purpose Inspection conducted during December 2024, where the Company had inadvertently delayed reporting of opening of a Bank account by 5 days.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	No impact