

1. Name of Listed Entity: Nuvama Wealth Management Limited
2. Scrip Code/Name of Scrip/Class of Security: NUVAMA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on: 30-Sep-2023
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders	No. of fully paid up equity shares	No. of Partly paid-up equity shares	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
								As a % of (A+B+C2)	No of Voting Rights				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Sub-Category I	Sub-Category II
(III)	held	held	(VI)	(IV)+(V)+(VI)	(VII)	(VIII)	Class seg: X	Class seg: Y	Total	(X)	(XII)	(XIII)					(XIV)			
A	Promoter & Promoter Group	25	1970734	0	0	1970734	56.19	1970734	0	1970734	0	56.19	1970734	100	0	0	1970734			
B	Public	949020	14865980	0	0	14865980	42.38	14865980	0	14865980	0	42.38	4838366	32.5			14865980			
C	Non Promoter-	2	501132	0	0	501132		501132	0	501132	0		0	0			501132			

	Non Public																	
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	2	501132	0	0	501132	1.43	501132	0	501132	1.43	0	1.43	0	0			501132
	Total	94906	35074457	0	0	35074457	100	35074457	0	35074457	100	0	99	24545711	69.98	0	0	35074457

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shares older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
l	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hindu undivided Family	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)	1	315277	0	0	315277	0.9	315277	0	315277	0.9	0	0.9	315277	100	0	0	315277
	Bodies	1	315277	0	0	315277	0.9	315277	0	315277	0.9	0	0.9	315277	100	0	0	315277

	Corporate			7				7		277				77					
	Asia Pragati Strategic Investment Fund	AAG TA27 13C	1	315277	0	0	315277	0.9	315277	0	315277	0.9	0	0.9	315277	100	0	0	315277
	Sub-Total (A)(1)		1	315277	0	0	315277	0.9	315277	0	315277	0.9	0	0.9	315277	100	0	0	315277
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		1	19392068	0	0	19392068	55.29	19392068	0	19392068	55.29	0	55.29	19392068	100	0	0	19392068
	Overseas Corporate Bodies		1	19392068	0	0	19392068	55.29	19392068	0	19392068	55.29	0	55.29	19392068	100	0	0	19392068
	Pagac Ecstasy Pte Ltd	AAL CP45 61F	1	19392068	0	0	19392068	55.29	19392068	0	19392068	55.29	0	55.29	19392068	100	0	0	19392068
	Sub-Total		1	19392068	0	0	19392068	55.29	19392068	0	19392068	55.29	0	55.29	19392068	100	0	0	19392068

	(Domestic)																		
a	Mutual Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds	3	1585	0	0	1585	0	1585	0	158	5	0	0	0	0	0	0	0	1585
d	Banks	1	5	0	0	5	0	5	0	5	0	0	0	0	0	0	0	0	5
e	Insurance Companies	2	275012	0	0	275012	0.78	275012	0	275012	0.78	0	0.78	0	0	0	0	0	275012
f	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g	Asset reconstruction companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h	Sovereign Wealth Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	NBFCs registered with RBI	3	1217	0	0	1217	0	1217	0	1217	0	0	0	0	0	0	0	0	1217
j	Other Financial Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
k	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

	(specify)																		
	Sub-Total (B)(1)		9	277810	9	0	277819	0.79	277819	0	277819	0.79	0	0.79	0	0			277819
2	Institutions (Foreign)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Foreign Direct Investment		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Sovereign Wealth Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investors Category I		119	281040	69	0	281046	8.01	281046	9	281046	8.01	0	8.01	0	0			281046
	Baron Emerging Markets Fund	AAE CB40 51F	1	386540	0	0	386540	1.1	386540	0	386540	1.1	0	1.1	0	0			386540
e	Foreign Portfolio Investors Category II		16	505570	6	0	505576	1.44	505576	0	505576	1.44	0	1.44	0	0			505576
	Bih Sa	AAD CB93	1	411650	8	0	411658	1.17	411658	0	411658	1.17	0	1.17	0	0			411658

		45B																	
f	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		135	331600	0	331604	9.45	331604	0	331604	9.45	0	9.45	0	0			331604	
3	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Central Government / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	State Government / Governor		1	28	0	28	0	28	0	28	0	0	0	0	0			28	
c	Shareholding by Companies or Bodies Corporate		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

	where Central / State Government is a promoter																		
	Sub-Total (B)(3)	1	28	0	0	28	0	28	0	28	0	0	0	0	0				28
4	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0
a	Associate companies / Subsidiaries	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0
b	Directors and their relatives (excluding independent directors and nominee directors)	1	890070	0	0	89007	0.25	89007	0	89007	0.25	0	0.25	0	0				89007
c	Key Managerial Personnel	1	56	0	0	56	0	56	0	56	0	0	0	0	0				56
d	Relatives of promoters (other than "Immediate Relatives" of promoters)	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0

	disclosed under "Promoter and Promoter Group" category)																		
e	Trusts where any person belonging to "Promoter and Promoter Group" category is "trustee", "beneficiary", or "author of the trust"	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
f	Investor Education and Protection Fund (IEPF)	1	527	0	0	527	0	527	0	527	0	0	0	0	0	0			527
g	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	89475	15619051	0	0	1561951	4.45	1561951	0	1561951	4.45	0	4.45	16171	1.04			1561951	

h	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs		17	35484097	0	3548497	10.12	3548497	0	3548497	10.12	0	10.12	0	0		3548497
	Rashesh Chandrakant Shah	AAG PS5933G	1	16251091	0	1625191	4.63	1625191	0	1625191	4.63	0	4.63	0	0		1625191
	Venkatchalam A Ramaswamy	AAD PR1740H	1	6649807	0	664987	1.9	664987	0	664987	1.9	0	1.9	0	0		664987
i	Non Resident Indians (NRIs)		2003	2444607	0	244467	0.7	244467	0	244467	0.7	0	0.7	0	0		244467
j	Foreign Nationals		0	0	0	0	0	0	0	0	0	0	0	0	0		0
k	Foreign Companies		1	870	0	870	0	870	0	870	0	0	0	0	0		870
l	Bodies Corporate		653	56658001	0	5665801	16.15	5665801	0	5665801	16.15	0	16.15	4822195	85.1		5665801
	Ecap Equities Ltd	AAC CE0717D	1	29877040	0	298770	8.52	2987740	0	2987740	8.52	0	8.52	2987740	100		2987740
	Edel Finance Company	AAA CD44	1	18344055	0	183445	5.23	1834455	0	1834455	5.23	0	5.23	1834455	100		1834455

	Limited	75F								5								
	Mabella Trustee Services Private Limited	AAS TS64 13P	1	4325203	0	0	432523	1.23	432523	0	432523	1.23	0	1.23	0	0		432523
m	Any Other (specify)		2605	1609102	0	0	160912	0.46	160912	0	160912	0.46	0	0.46	0	0		160912
	Clearing Members		6	117	0	0	117	0	117	0	117	0	0	0	0	0		117
	HUF		2523	663670	0	0	66367	0.19	66367	0	66367	0.19	0	0.19	0	0		66367
	LLP		70	929470	0	0	92947	0.26	92947	0	92947	0.26	0	0.26	0	0		92947
	Trusts		6	1421	0	0	1421	0	1421	0	1421	0	0	0	0	0		1421
	Sub-Total (B)(4)		94757	11272088	0	0	11272088	32.14	11272088	0	11272088	32.14	0	32.14	4838366	42.92		11272088
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		94902	148650980	0	0	14865080	42.38	14865080	0	14865080	42.38	0	42.38	4838366	32.55		14865080

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)		
								No. of Voting Rights	Total as a % of Total Voting rights	No.			As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)				
																Class X		Class Y	Total
1	Custodian/DR Holder		0	0	0	0		0	0	0	0	0	0	0	0	0	0		
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		2	501130	0	0	501132	1.43	501130	501132	1.43	0	1.43	0	0			501132	
	Nikhilesh Natwarlal Panchal	AAA TE16 88G	1	419634	0	0	419634	1.2	419630	419634	1.2	0	1.2	0	0			419634	
	Total Non-		2	501130	0	0	501132		501130	501132	1.43	0		0	0			501132	

Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			2					2		132									
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Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table VI - Statement showing foreign ownership limits

Particular	Approved Limits (%)	Utilized Limit (%)
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Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

**For Nuvama Wealth Management Limited
(Formerly known as Edelweiss Securities Limited)**

**Sneha Patwardhan
Company Secretary**